

Attorney Docket No. 38779-8002.US00

IFW

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Date:

July 15, 2005

By:

Lynnea B. Kennelly

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Betts *et al.*

APPLICATION No.: 10/706,055

FILED: November 12, 2003

FOR: **42-0-ALKYOXYALKYL RAPAMYCIN DERIVATIVES AND COMPOSITIONS COMPRISING SAME**

EXAMINER: Unknown

ART UNIT: 1614

CONF. No: 6359

**Supplemental Information Disclosure Statement Within Three Months
of Application Filing or Before First Action – 37 CFR 1.97(b)**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

- ☒ Copies of the following references are enclosed:
- ☒ All cited references except U.S. patents and published applications
 - ☐ References marked by asterisks
 - ☐ The following:
 - ☒ No copies of U.S. patents nor published applications are enclosed (See C.F.R. § 1.98(a)(2)(i)).
- ☐ Copies of the following references can be found in parent U.S. Application No. *:
- ☐ All cited references
 - ☐ References marked by asterisks
 - ☐ The following:

- ☐ The following references are not in English. For each such reference, the undersigned has enclosed an English-language abstract for the reference prepared by a third party. Applicant has not verified that the third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.
- ☐ All cited references
- ☐ References marked by ampersands

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

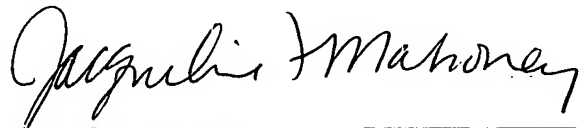
4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-2207.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,
Perkins Coie LLP

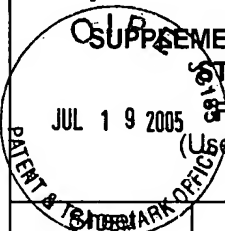


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 <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO-1449 (Modified) (Use several sheets if necessary)</p>				COMPLETE IF KNOWN	
				Application Number	10/706,055
				Confirmation Number	6359
				Filing Date	November 12, 2003
				First Named Inventor	Betts, <i>et al.</i>
				Group Art Unit	1614
				Examiner Name	Unassigned
		Attorney Docket No.	38779-8002.US00		
		1	of	1	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
		5,378,836		Kao <i>et al.</i>	01/03/95	
		5,985,890		Cottens <i>et al.</i>	11/16/99	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				
		PCT	WO 03/90684	A2	Shulze <i>et al.</i>	11/06/03		
		PCT	WO 95/16691	A1	Cottens <i>et al.</i>	06/22/95		

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T

EXAMINER	DATE CONSIDERED
<p><small>*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).</small></p>	